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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Munro et al.  
  
Serial No.: 09/655,481  
  
Filed: September 5, 2000  
  
Title: METHOD AND APPARATUS FOR  
DISCRIMINATING AND  
COUNTING DOCUMENTS

Atty Dkt: 47171-00125USC2  
  
Group Art: 2621  
  
Examiner: Dang, Duy

### FIFTH INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97 and 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

#### CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

9-17-04 Gloria O'Bannon  
Date Signature

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the reference(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are enclosed. These references were cited in during an Opposition filed in connection with a related European Patent, namely, EP 0 731 954 B, also listed on the accompanying Form PTO-1449.

The relevancy of the non-English references K5 and K6 is that they were cited in De La Rue's opposition, reference K1.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed

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to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

The present Information Disclosure Statement is being submitted after the mailing of the first Office Action reflecting an examination on the merits but before the mailing of a Final Rejection or Notice of Allowance. Therefore, in accordance with 37 C.F.R. § 1.97(c), a check in the about of \$180 is included herewith to cover the fees associated with the filing of this information disclosure statement. If this check is inadvertently not enclosed, it is in error with regarding to the amount or if any other fees are due, the Commissioner is authorized to charge any fees (except for payment of the issue feed) or to credit any over payment to Jenkens & Gilchrist Account No. 10-0447/47171-00125USC2.

Respectfully submitted,



September 17, 2004  
Date

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SEP 20 2004

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL

## for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

## Complete if Known

Application Number	09/655481
Filing Date	September 5, 2000
First Named Inventor	Mark C. Munro
Examiner Name	D. M. Dang
Art Unit	2621
Attorney Docket No.	47171-00125USC2

## METHOD OF PAYMENT (check all that apply)

Check     Credit Card     Money Order     Other     None

Deposit Account:

Deposit Account Number **10-0447**Deposit Account Name **Jenkens & Gilchrist, a Professional Corporation**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below     Credit any overpayments

Charge any additional fee(s) or any underpayment of fee(s)

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1001	770	2001	385
1002	340	2002	170
1003	530	2003	265
1004	770	2004	385
1005	160	2005	80
<b>SUBTOTAL (1)</b>		(\$ 0.00)	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	
Independent Claims	-3** =	
Multiple Dependent		

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1202	18	2202	9
1201	86	2201	43
1203	290	2203	145
1204	86	2204	43
1205	18	2205	9
<b>SUBTOTAL (2)</b>		(\$ 0.00)	

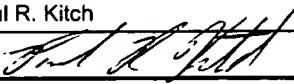
\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	420	2252	210
1253	950	2253	475
1254	1,480	2254	740
1255	2,010	2255	1,005
1401	330	2401	165
1402	330	2402	165
1403	290	2403	145
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,330	2453	665
1501	1,330	2501	665
1502	480	2502	240
1503	640	2503	320
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	770	2809	385
1810	770	2810	385
1801	770	2801	385
1802	900	1802	900
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	(\$ 180.00)

(Complete if applicable)

Name (Print/Type)	Paul R. Kitch	Registration No. (Attorney/Agent)	38,206	Telephone	(312) 425-8517
Signature		Date	September 17, 2004		

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Dated: September 17, 2004

Signature:  (Gloria O'Bannon)

Form PTO-1449 (modified)			Atty. Docket No. 47171-00125USC2	Serial No. 09/655,481
List of Patents and Publications for Applicant's <b>5<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT</b>			Applicant Mark Munro et al.	
Page 1 of 1 SEP 20 2000			Filing Date: September 5, 2000	Group: 2621

<b>U.S. Patent Documents</b>							
Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	J1	4,249,552	02/30/81	Margolin et al.	133	IR	

<b>Foreign Patent Documents</b>							
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	J2	EP 0 731 954 B	09/18/96	Europe	G06K	9/00	

<b>Other Art (Including Author, Title, Date Pertinent Pages, Etc.)</b>		
Exam. Init.	Ref. Des.	Citation
	K1	De La Rue Notice of Opposition to EP 0731954B (English) (10/22/03)
	K2	De La Rue TCD 9210 – Autodeposit User Manual, 7 pages (English) (12/20/93)
	K3	Declaration of John A. Skinner (English) (10/20/03)
	K4	Declaration of Volker Glaser (English) (10/20/03)
	K5	De La Rue Cashmaster-Deposit 3D/UV Invoice (German) (3/25/94)
	K6	De La Rue Cashmaster-Deposit 3D/UV Delivery note (German) (3/4/94)

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	